

## **NRA Compliance Commitments to Members**

- Compliance Officer and Internal Audit: Annual review of the following areas, with results reported publicly to all members in a disclosure signed by the Compliance Officer:
  - Travel and entertainment expenses;
  - Contracts;
  - Related party transactions; and
  - Business ethics (whistleblower system testing).
- Independent Auditor (Aprio) continues special procedures for a minimum of three years
  - Publish Aprio audit opinion to members (standalone NRA along with consolidated)
- 990 is certified by CEO and CFO (Sarbanes Oxley)
- Additional information reported to members annually:
  - Total executive T&E expense spending;
  - Related party transactions (including \$ amount and names);
  - Whistleblower statistics (*e.g.* quantity of complaints and resolution. Details omitted where necessary for confidentiality).
  - Disclosure covering ten largest vendors. This will be an expanded disclosure of the Form 990 for “top five vendors.” For each vendor, it would include: dollar amount; and, whether the transaction(s) comply with NRA purchasing/procurement policy
- Secure online portal to access board and committee materials before meetings. To address concerns about “leaks” and promote security of information disseminated, Secretary’s Office will investigate use of software to affix individualized watermarks.
- Propose amendment to Bylaws Committee to make the Audit Committee an elected committee of the Board.
  - The Audit Committee will remain consistent with its charter or practical constraints on membership (*e.g.* independence or particular qualifications). To ensure orderly, vetted nominations of directors for important committees,

the President will make the nominations—but the full Board will vote to ratify or reject the President’s nominees.

- Before running for the Board (whether via Nominating Committee or by petition) director candidates must sign certain acknowledgements and commitments. These will include: consent to a background check; commit to an information-sharing policy; sign an acknowledgement of board-member duties.
- Adopt a policy – no related party transaction with any board member or immediate family.
  - No exception unless approved by full board, or by the Executive Committee if time requires. This does not replace, but supplements, Audit Committee review.